

AGENCY FOR INTERNATIONAL DEVELOPMENT

PROJECT DATA SHEET

1. TRANSACTION CODE

☒ A = Add
☐ C = Change
☐ D = Delete
Amendment Number
OneDOCUMENT
CODE
3

2. COUNTRY/ENTITY

PERU/USAID

3. PROJECT NUMBER

527-0319

4. BUREAU/OFFICE

LAC

05

5. PROJECT TITLE (maximum 40 characters)

STRENGTHENING HEALTH INSTITUTIONS

6. PROJECT ASSISTANCE COMPLETION DATE (PACD)

MM DD YY
1 2 3 1 9 9

7. ESTIMATED DATE OF OBLIGATION

(Under 'B.' below, enter 1, 2, 3, or 4)

A. Initial FY 9 1

B. Quarter 4

C. Final FY 9 5

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	1,740	1,285	3,025	8,500	9,500	18,000
(Grant)	(1,740)	(1,285)	(3,025)	(8,500)	(9,500)	(18,000)
(Loan)	()	()	()	()	()	()
Other U.S. 1.						
2.						
Host Country						
Other Donor(s) RENOM					1,000	1,000
TOTALS	1,740	1,285	3,025	8,500	10,500	19,000

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. ACTIVITY CODE	C. ACTIVITY CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) HE	HEDD			2,100				3,670	
(2) CS	HEIM			3,025				11,330	
(3) PN	PNPD			231				3,000	
(4)									
TOTALS				5,356				18,000	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

11. SECONDARY ACTIVITY CODE
HECS, HERI, NUBF, NUGM

12. SPECIAL INTEREST CODES (maximum 7 codes of 4 positions each)

A. Code	CHS	INS	PRT	PVO	TWN	WDP
B. Amount	9,000	9,000	9,000	9,000	9,000	9,000

13. PROJECT PURPOSE (maximum 480 characters)

To evaluate and identify models of private primary health care services delivery which improve access, coverage, efficiency, and sustainability of services in two areas of Peru.

14. SCHEDULED EVALUATIONS

Interim MM YY MM YY Final MM YY
0 3 9 4 1 0 9 6 1 0 9 9

15. SOURCE/ORIGIN OF GOODS AND SERVICES

☒ 000 ☐ 941 ☒ Local ☐ Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a 1 page PP Amendment.)

The purpose of this amendment is to extend the life of project from 63 to 99 months. This extension is consistent with DOA No. 752, section II, D, dated September 14, 1992.

Paul Kramer, Controller

17. APPROVED BY

Signature

George A. Wachtenheim

Title

Mission Director

Date Signed

MM DD YY
0 4 2 0 9 3

18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION

MM DD YY

M E M O R A N D U M

DATE: April 14, 1993
TO: George Wachtenheim, Director
FROM: *Elvira C. de Varillas*
Elvira C. de Varillas, PDP
THROUGH: *Erna Kerst*
Erna Kerst, PDP Officer
SUBJECT: Strengthening Private Sector Health Institutions, project
527-0319 - Life of Project Extension (PACD)

Discussion:

Project Authorization Amendment No. One signed on April 1, 1993 extends the life of subject project for three years from December 31, 1996 to December 31, 1999.

The attached PP Data Sheet has been amended to reflect this extension and to be consistent with Project Authorization Amendment One.

Recommendation:

That you sign the attached PP Data Sheet, Amendment One.

Clearances:

DD:DBoyd
CONT:PKramer



PROJECT AUTHORIZATION

(Amendment One)

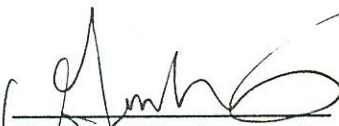
Name of Country : PERU
Name of Project : Strengthening Health Institutions Project
Number of Project : 527-0319

A. Pursuant to Section 104 of the Foreign Assistance Act of 1961, as amended, and delegations of authority issued thereunder, the original Project Authorization for the Strengthening Health Institutions Project (the "Authorization") was signed on September 28, 1991. That authorization is hereby amended as follows:

1. Paragraph 1 of the Authorization is hereby deleted in its entirety and the following is substituted in lieu thereof:

"Pursuant to Section 104 of the Foreign Assistance Act of 1961, as amended, I hereby authorize the Strengthening Health Institutions Project for Peru involving planned obligations of not to exceed Eighteen Million United States Dollars (\$18,000,000) in Grant Funds ("Grant") over a five (5) year period from the date of authorization, subject to the availability of funds in accordance with the AID OYB/allotment process, to help in financing foreign exchange and local currency costs for the Project. The planned life of Project is ninety nine (99) months from the date of initial obligation."

B. Except as expressly amended herein, the Authorization remains in full force and effect.


George Wachtenheim
Mission Director

4/1/93
Date

Drafted by:HPN:JVernooy:(id 3/19/93)

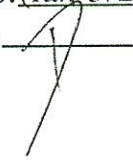
Clearances:

HPN:HWGoldman:(id 3/19/93)

PDP:EKerst:(id 3/22/93) *aw 3/21*

CONT:PKramer:(id 3/24/93)

RLA:JBorns:(fax 3/28/93)

DD:DBoyd: 



UNITED STATES GOVERNMENT
ACTION MEMORANDUM

DATE: March 22, 1993

REPLY TO

ATTN OF:

 Heather W. Goldman, Chief HPN

SUBJECT:

Strengthening Health Institutions Project
Project No. 527-0319 of Amended Authorization

TO:

The Mission Director

Action:

This memorandum requests approval for a three year extension of the Strengthening Health Institutions Project (SHIP) as presented in the Amended Project Authorization. For reasons beyond the control of USAID/Peru, the initiation of the Northern component of the project was delayed for two years. Both the hiring of project coordinators, and the Northern component contract award have taken longer than originally planned because of political factors. The Life of the Project was originally approved for a five year period. To accomplish the project's original objectives, we therefore request approval to extend the project termination date a full three years from December 31, 1996 to December 31, 1999.

General Project Overview:

The five year Strengthening Health Institutions Project (SHIP) is designed to identify and test models of primary health care services delivery to improve access and increase coverage, efficiency and sustainability of high quality primary health care (PHC) services to low-income populations in two areas of Peru. Overall, SHIP responds to the lack of such health care availability in the regions of Puno and Arequipa in the South, and Chiclayo in the North, and the inability of the public health system to serve them adequately.

Therefore, the goal of the project is to improve the health status of Peruvians through coverage of quality primary health care services. The project purpose is to test the operational and financial feasibility of different PHC service models. The resulting models will serve to develop and sustain cost recoverable PHC services within marginal income areas, as well as bring about improved collaboration and coordination between private and public sector health care providers.

The SHIP consists of three major components which are as follows:

1. The establishment of a self-financed primary health care network in the region of Chiclayo in Northern Peru. As this component aims for maximally sustainable health, it is referred to as MAXSALUD.
2. The provision of technical and financial assistance to competitively selected NGOs who provide primary health care in the regions of Puno and Arequipa in Southern Peru. As this component aims for maximum health services, it is referred to as MAXSERV.
3. Operations research and other technical studies and information dissemination activities in support of project implementation and as a way of influencing health care policy.

Discussion:

Because of political and security factors in Peru, economic sanctions and the restrictions on the Mission to bring in U.S. citizens, project implementation was delayed and had to be modified. The Project Authorization was signed in September of 1991. It was not until twelve months after the cooperative agreement was signed with CARE for the Southern component, that they were able to field their full complement of staff to run the project in the Puno Region. Because of sanctions and the April 5, 1992 constitutional suspension in Peru, USAID was not allowed to start the Northern component as planned in FY 1992. Given changes in health service and political systems in Peru in the interim, we have had to make some adjustments in plans for the Northern component. Likewise, the Health Population and Nutrition Office which is short-staffed, was only able to hire the two project coordinators in January and February of 1993. We are requesting a three year extension because of the time we anticipate we will need to award and initiate the Northern component's contract. If the contract is awarded in FY93, project start-up will not be likely to occur before the second quarter of FY94. The Project Assistance Completion Date (PACD) needs to be approved prior to issuance of the Request for Proposals (RFP) for the Northern Component. The PACD extension

ACTION MEMORANDUM TO THE MISSION DIRECTOR
SHIP PROJECT (527-0319) APPROVAL OF AMENDED AUTHORIZATION
MARCH 18, 1992

covers both components, but does not, in itself mean that the Cooperative Agreement with CARE will be extended.

Request:

Pursuant to HB 5 and revised Delegation of Authority (DOA) No. 752, Section II.D, you have the authority to extend the life of a project up to a total life of no more than ten years. Therefore, your approval is hereby requested for a three-year extension for the SHIP, from December 31, 1996 to December 31, 1999. There is, at this point, no revision in project activities as described in the original Project Paper.


APPROVED

George W. Wachtenheim
Mission Director

DISAPPROVED

George W. Wachtenheim
Mission Director

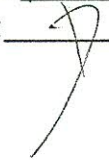
Date: 4/1/93

Clearances:

PDP:EKerst:(id) 3/22/93 *see 2/31*

CONT:PKramer:(id) 3/24/93

RLA:JBorns:(fax 3/28/93)

DD:DBoyd: 

ACTION MEMORANDUM FOR THE MISSION DIRECTOR

DATE: September 13, 1991

FROM: Alonzo Wind, HPN

THRU: Edgar Necochea, A/HPN

Heather W. Goldman, A/HR



Strengthening Private Sector Health Institutions
Project (SHIP) 527-0319

SUBJECT: Strengthening Health Institutions Project
(SHIP: 527-0319): Approval of Project Paper and Project
Authorization Document

I. PURPOSE

The purpose of this Action Memorandum is to submit the following documents for your approval:

- A. Subject Project Paper
- B. Authorization Document

II. DISCUSSION

The Strengthening Health Institutions Project Identification Document was reviewed by the Bureau for Latin America and the Caribbean on June 27, 1991, at a meeting chaired by LAC/DR Deputy Director Elena Brineman. The review recommended Mission approval of the SHIP PID and proceed with the development of a Project Paper for field authorization. The PID was approved by the Mission on July 25, 1991.

Drawing on the approved PID, and based on a series of intensive field-based analyses, the subject Project Paper was developed by a large team that included Alonzo Wind and Laura Altobelli from USAID/Peru, Carol Dabbs of LAC/DR/HPN, Michael Lofstrom of International Development Assistance, Rolf Stern of Stern & Compañía, Dr. Frederick Hartman of the New England Family Health Association, Rose Schneider and Dr. Eugenio Morice from the regional Health and Nutrition Sustainability (HNS) Project, Loren Finnell from the Resource Foundation, representatives from CARE/Peru and CARE/Bolivia, and Dr. Martin Makinen from the Health Financing and Sustainability (HFS) Project. Technical support was also provided by Gerardo Arabe and the Controller's Office through Paul Kramer, Jerry Martin, Kenneth Frith, and Max Patrucco.

The PP was reviewed in several draft versions during the month of August by the Project Committee. It was presented and discussed with the Regional Contracting Officer September 3-6. The document has also been reviewed in Quito by the Regional Legal Adviser. It was presented to the Project Committee and Senior Mission Management in final draft form for review on September 6, 1991, and was recommended for approval at a meeting on September 12. All recommendations and suggestions have been addressed and/or incorporated into the PP document.

Implementing Agency:

The project contains four principal components. Three components, including two that will provide USAID monitoring and technical support, will be implemented through a Cooperative Agreement with the U.S. based PVO CARE. The fourth component, comprised of a Training and Technical Assistance (T/TA) Institutional Contract with an international firm, will be awarded following an RFP and formal competitive negotiations, once USG sanctions are lifted.

USAID/Peru will make available assistance to CARE using the authority contained in the 123(e) Determination signed by the Acting Administrator on September 19, 1989 and Notifications sent to the Speaker of the House and to the Chairman of the Senate Foreign Relations Committee on September 10, 1991, which allows continued support for programs of PVOs already being supported prior to the date that prohibitions such as those contained in FAA Section 620(q) and the Brooke-Alexander Amendment last became applicable. CARE has been receiving support from A.I.D. without interruption since before August 2, 1989 and September 1, 1989, the dates on which the above sanctions entered into effect.

Justification of the Selection of CARE as Recipient:

The Regional Contracting Officer has determined and the GC/LAC has concurred (via fax dated August 1, 1991) that a noncompetitive Cooperative Agreement to CARE is justified on the grounds of predominant capability based on experience and program in Peru.

Justification for Waiver of 25 Percent Contribution Requirement:

It is the policy of A.I.D. that a 25 percent contribution to total life-of-project costs from non-Federal funds is required for operational program grants (OPGs) and operational program cooperative agreements (OPCAs) to PVOs. Although it is not mandated by legislation, A.I.D. has administratively determined to establish this requirement. This non-Federal contribution may include cash and in-kind contributions from PVOs, local collaborators and other non-governmental donors as well as from host governments, other governments and international organizations.

The Mission considers that a waiver of the 25 percent contribution requirement to the CARE Cooperative Agreement is justified on the grounds that this is not a typical PVO project in which A.I.D. receives a proposal from the PVO requesting A.I.D. assistance in carrying out one of their programs. Rather, in this case A.I.D. has selected CARE to be the implementing agency of component 1 (MAXSERV) of the Strengthening Health Institutions Project (SHIP). The Mission has a fundamental interest in the SHIP Project because it is a crucial element in the Mission Health Strategy and Country Development Strategy Statement.

For these reasons, it is inappropriate to require CARE to be responsible for project implementation of the MAXSERV Component of the SHIP Project and at the same time be required to comply with A.I.D.'s 25 percent contribution criteria. Nevertheless, the Project Paper prescribes a substantial effort to be made by CARE and the sub-grant recipients of the MAXSERV Component to achieve cost recovery and the sustainability of activities and outputs.

This waiver is not necessarily applied to the LOP funding of the SHIP project, given that the Mission expects a substantial in-kind contribution from the Regional Government of Nor-Oriental del Marañon for the district health centers, calculated at \$1,000,000. In addition, USAID considers it probable that anticipated revenues from service fees will exceed the 25 percent contribution criteria. Nonetheless the Project Paper budget reflects only the Regional Government in-kind contribution.

Congressional Notification:

Congressional Notification No. 765 dated September 13, 1991 will expire on September 27, 1991.

Funding:

Budget allowance in the amount of \$3,025,000 (OYB) has been received via STATE 060775 para 4, dated February 26, 1991.

Environmental Determination:

The Mission Environmental Officer recommended that this project qualifies for a categorical exclusion under Section 216 of Regulation 16 (Appendix 2D to Chapter 2 of A.I.D. Handbook 3) and does not require an initial environmental examination. Specifically this project falls under Section 216.2(c) of A.I.D.'s environmental procedures, which exclude the requirement of an initial environmental examination or any environmental documentation for:

- "educational, technical assistance, or training programs"
- "analyses, studies, academic or research workshops and meetings", and
- "programs involving nutrition, health care or population and for planning services except to the extent designed to include activities directly affecting the environment".

The Regional Environmental Advisor and the Mission Environmental Officer approved the recommendation for categorical exclusion from any project environmental examination on September 25, 1991. A copy of the LAC\DR\E Environmental Threshold Decision will be pouched to the Mission for inclusion in the Project files.

III. RECOMMENDATION

Per HB 5, Delegation of Authority No. 752 you are redelegated the authority to authorize the Project Paper in the field. The project committee thus recommends that you sign the attached documents on the basis that all appropriate analyses and A.I.D. requirements have been adequately addressed.

Attachments: Project Authorization
 Project Data Sheet
 Project Paper

Clearances: PSDI:RSalinas: 
 PRC:BBlotte: 
 FFD:GBaldino: 
 A/PDP:EVarillas: 
 CONT:PKramer: 
 DD:BCKennedy: 

**AGENCY FOR INTERNATIONAL DEVELOPMENT
USAID / ECUADOR**

U.S. ADDRESS: AMEMBASSY, Quito (USAID) UNIT 5330, APO AA 34039-3420
FAX NUMBER: 593-2-500-502 PHONE NUMBER: 593-2-521-100



TELEFAX CONTROL No.

TO: Hassan W. Williams
Chief of Mission
Lima, Peru

FAX NO.: 537-534
DATE: MARCH 24, 1988
No. of PAGES: 1 (include cover sheet)

FROM: Jeff Borne

OFFICE: RLA

SUBJECT: Ship Amendment

TEXT:

RLA provides in this fax, clearance of this Action Memorandum and Project
Authorization Amendment.

HPN